

[Form 1] "Green Procurement" Business Partner Survey

Example

Date January 10, 2012

Company name	OO Corporation Co., Ltd.	Type of operation	Manufacturer
Office name	△△ Plant	Name of person filling out the sheet	Tanaka Taro
Department	×× Department □□ Division	Telephone No.	***-***-***
Address	***Pref.***City***		

1. Items related to ISO 14001 Certification

Item	○/×	Acquisition date / Scheduled date for acquisition	Certification authority	Certification No.
ISO14001 has been acquired	×			***-***
ISO14001 will be acquired	○	July 1, 2005	△△OO Organisation	

2. Items related to the approach to Green Procurement

○ equals 20 points

Item	○/×	Implemented on / Scheduled implementation date	Score
Green Procurement has been implemented	○	May 25, 2003	20
Green Procurement will be implemented			

* 20 points are awarded if Green Procurement has already been implemented or if it is to be implemented in the future. In case neither applies, please mark with x. In such cases, the score awarded is zero (0).

3. Items related to environmental protection activities

There is no need to fill this out if ISO 14001 Certification has already been acquired.

○ equals 10 points

Item [ISO Requirements] (Reference)	Evaluation items	○/×	Score	Required items
<u>Corporate philosophy & policy</u> [4.2 Environmental policy]	① The company has a corporate philosophy regarding environmental protection.	○	10	○
	② The company has established an environmental policy and is committed to continuous improvement and contamination prevention.	○	10	○
	③ The company is committed to complying with laws and regulations through its environmental policy.	○	10	○
	④ All employees comply with the company's environmental policy and third parties can obtain copies of the policy.	○	10	
<u>Planning & organization</u> [4.3.4 Aim & purpose] [4.3.4 Environmental management programme] [4.4.1 Structure and accountability]	⑤ The company has aims and purposes regarding environmental protection.	○	10	○
	⑥ The organization and the people in charge of achieving the aims and purposes are determined.	○	10	○
	⑦ The company has an implementation plan for achieving the aims and purposes.	○	10	
<u>Environmental assessment and system</u> [4.4.6 Operation management] [4.5.1 Monitoring and measurement] [4.5.4 Environmental management audit]	⑧ The company manages and evaluates the handling of hazardous substances (including operation of incinerators) and makes efforts toward improvement (air pollution, water contamination, soil contamination, odors)	○	10	○
	⑨ The company manages and evaluates noise and vibrations and makes efforts toward improvement.	○	10	
	⑩ The company manages and evaluates waste disposal and makes efforts toward improvement.	○	10	○
	⑪ The company manages and evaluates energy (electricity, gas, fuel, etc.) and makes efforts toward improvement.	○	10	
	⑫ The company does not use banned substances (Level 1) on the List of Controlled Substances.	○	10	○
	⑬ The company has a product assessment mechanism.	×		
	⑭ The company has an emergency mechanism.	○	10	
<u>Education and training</u> <u>Information services</u> [4.4.2 Education and training] [4.4.3 Communication]	⑮ The company provides environment-related education.	○	10	
	⑯ The company provides education and training for those engaged in operations that could have a major impact on the environment, and has a list of personnel.	○	10	
	⑰ The company provides information regarding environmental protection.	×		
Total			160	

4. Other environmental protection activities carried out by the company

Please fill out if your company is engaged in other activities than those listed in "3. Items related to environmental protection activities" (no points are awarded)

• The company has set up an environmental protection activities suggestion system, including products, for all employees and carries out evaluation and implementation.

5. Ranking

In cases where even only one of the required items is marked with x, we take that to be a call for improvement, guidance and support

Evaluation result	Item 2 + Item 3	Score (total of points in Item 2 and Item 3)	Rank	Selection criteria
A	180	More than 180 points or the ISO 14001 Certification has been acquired	S	Prioritized deal
		130 ~ 180 points	A	
		80 ~ 120 points	B	
		40 ~ 70 points	C	Taken to be a call for improvement, guidance and support
		≤ 30 points	D	

【Form 1】 “Green Procurement” Business Partner Survey

Date

Company name		Type of operation	
Office name		Name of person filling out the sheet	
Department		Telephone No.	
Address			

1. Items related to ISO 14001 Certification

Item	O / x	Acquisition date / Scheduled date for acquisition	Certification authority	Certification No.
ISO14001 has been acquired				
ISO14001 will be acquired				

2. Items related to the approach to Green Procurement

equals 20 points

Item	O / x	Implemented on / Scheduled implementation date	Score
Green Procurement has been implemented			
Green Procurement will be implemented			

* 20 points are awarded if Green Procurement has already been implemented or if it is to be implemented in the future. In case neither applies, please mark with x. In such cases, the score awarded is zero (0).

3. Items related to environmental protection activities

There is no need to fill this out if ISO 14001 Certification has already been acquired.

equals 10 points

Item [ISO Requirements] (Reference)	Evaluation items	O / x	Score	Required items
Corporate philosophy & policy [4.2 Environmental policy]	① The company has a corporate philosophy regarding environmental protection.			
	② The company has established an environmental policy and is committed to continuous improvement and contamination prevention.			
	③ The company is committed to complying with laws and regulations through its environmental policy.			
	④ All employees comply with the company's environmental policy and third parties can obtain copies of the policy.			
Planning & organization [4.3.4 Aim & purpose] [4.3.4 Environmental management programme] [4.4.1 Structure and accountability]	⑤ The company has aims and purposes regarding environmental protection.			
	⑥ The organization and the people in charge of achieving the aims and purposes are determined.			
	⑦ The company has an implementation plan for achieving the aims and purposes.			
Environmental assessment and system [4.4.6 Operation management] [4.5.1 Monitoring and measurement] [4.5.4 Environmental management audit]	⑧ The company manages and evaluates the handling of hazardous substances (including operation of incinerators) and makes efforts toward improvement (air pollution, water contamination, soil contamination, odors)			
	⑨ The company manages and evaluates noise and vibrations and makes efforts toward improvement.			
	⑩ The company manages and evaluates waste disposal and makes efforts toward improvement.			
	⑪ The company manages and evaluates energy (electricity, gas, fuel, etc.) and makes efforts toward improvement.			
	⑫ The company does not use banned substances (Level 1) on the List of Regulated Items.			
	⑬ The company has a product assessment mechanism.			
	⑭ The company has an emergency mechanism.			
Education and training Information services [4.4.2 Education and training] [4.4.3 Communication]	⑮ The company provides environment-related education.			
	⑯ The company provides education and training for those engaged in operations that could have a major impact on the environment, and has a list of personnel.			
	⑰ The company provides information regarding environmental protection.			
Total				

4. Other environmental protection activities carried out by the company

Please fill out if your company is engaged in other activities than those listed in "3. Items related to environmental protection activities" (no points are awarded)

• The company has set up an environmental protection activities suggestion system, including products, for all employees and carries out evaluation and implementation.

5. Ranking

In cases where even only one of the required items is marked with x, we take that to be a call for improvement, guidance and support

Evaluation result	Item 2 + Item 3	Score (total of points in Item 2 and Item 3)	Rank	Selection criteria
		More than 180 points or the ISO 14001 Certification has been acquired	S	Prioritized deal
		130 ~ 180 points	A	
		80 ~ 120 points	B	
		40 ~ 70 points	C	Taken to be a call for improvement, guidance and support
		≤ 30 points	D	

Form 2 – (2)a “Green Procurement” Procurement Items Survey

<This survey is based on the assumption that our business partners do not use substances that are banned in the country at the time of the survey.>

1 February 2012 Edition

Note 1: Please refer to “4.1.8 Precautions regarding chemical substances survey” in the Green Procurement Guidelines when filling out this survey.

Note 2: As a general rule, the employee requesting the survey on our side will fill out items (1) and (2) in 6 and 7 where there are drawing numbers or P codes determined by our company.

For procured items others than those determined by our company, and containing chemical substances regulated by our company, please fill out type/specification (standard) and name of product.

Note 3: It is possible to reply by the ASSY, module or kit unit for the “Name of product” and “Type”, etc., in cases where such units are used.

In cases where individual component parts within ASSYs, modules or kits correspond to regulations (i.e. they do not contain controlled substances or only below the threshold), there is no need to respond for each component part.



In cases where individual component parts within the ASSYs, modules or kits do not correspond to regulations (i.e. they are contained in quantities above the threshold), please respond for each component part.

Note 4: It is not necessary to respond for each component when responses can be given regarding series of machines (products). In such cases, please simply specify the name of the series.

Note 5: Please refer to Details of chemical substances regulated by the RoHS Directive.

Note 6: Please do not fill out Item (12) in 6 and 7, as the Amada group will fill out this section.

1. Company name OOO Corporation Co., Ltd.
 2. Department Quality Assurance Department
 3. Name of person filling out the sheet Junichi Tanaka
 4. Telephone No. ***-***-****
 5. Date 2012/1/10

Approved by	Filled out by
 Kato Seal	 Tanaka Seal

Example

To be filled out by Amada	
Department name:	
Approved by	Person in charge
Date	Date

* Electronic signatures can be used

Please add another row if there is not enough space to enter all the required information.

6. Replies for the survey regarding chemicals (corresponding to RoHS directives)											To be filled out by Amada
(1)	(2)	(3)	(4)	(5)	(6)	(7)	(8)	(9)	(10)	(11)	(12)
•Our drawing No. •Our P code •Type/specification(standard)	Name of product	Type	RoHS Directive Presence or absence of controlled chemicals No controlled chemicals: O Controlled chemicals included: X	Existence of plan for substitution in response to RoHS Yes: O No: X	Date planned for substitution in response to RoHS (supply availability date) (No schedule: do not fill out)	Remarks (Instructions for use, others)	Chemical substances group (Name of said chemical substances)	Chemical substances group intentional addition Yes: O No: X	RoHS Directive Within the threshold: O Above the threshold: X (Current products)	Application of chemical substances	Certification corresponding to RoHS Directive (Certified product: O) (Non-certified product: X)
P590038031	O ring	AOK036	O			Production suspension scheduled 2012/1/10					
P862148017	Snap-on eyelet terminal	P0-A307-X001	X	O	2012/10/31	Model modifications (P0-A307-Ro)	Lead/Lead compounds	X	O	Impurities	
P381058100	Cable band	XPMS30-20A	X	O	2012/10/31	Mounting hole diameter of substit. product not compatible.	Lead/Lead compounds	O	X	Additives	
P900108004	Analog IC	XPAD1633	X	X			Cadmium/Cadmium compounds(Cadmium)	X	O	Impurities	
XL1100GH	Proximity switch	XPL7M-056-CN	X	X			Cadmium/Cadmium compounds(Cadmium)	O	O	Solder	
YLZ50	Limit switch	LSD series	X	O	2012/12/31		Lead/Lead compounds	O	X	Solder	
7835001	FRL Unit	FRL3000	O								
7900210	Control box	A-2050	X	O	2012/12/31	Applicable parts: push switches	Lead/other compounds	O	X	Solder	
6511290	ShaftA		X	O	Instructions on drawings	Modification of colors	Hexavalent chromium/compounds	O	O	Coating	
6511200	Bushing sleeve		X	O	Instructions on drawings		Lead/Lead compounds	X	X	Material composition	
6543123	Collar		O								
400SS	Air cap	Air cap sheet 100X1000	X	O	2012/10/1		Cadmium/Cadmium compounds	O	O	Additives	
M6 x 12	Decoration screw	M5X10	X	O	2008/4/1	Modification of colors	Lead/Lead compounds	O	O	Hexavalent chromate	

7. Reply to survey on chemical substances (measures to be taken concerning substances other than those listed in the RoHS Directive)

* Other controlled chemical substances.....chemical substances banned from use (or whose use is regulated, or which are expected to be banned) by business partners due to amendments to domestic legislation,

and chemical substances in the Amada Group Green Procurement Guidelines Appendix (1) List of Controlled Chemical Substances											To be filled out by Amada
(1)	(2)	(3)	(4)	(6)	(7)	(8)	(9)	(10)	(11)	(12)	
•Our drawing No. •Our P code •Type/specification(standard)	Name of product	Type	Applicable laws	Mark with an 'O' if within the threshold of the applicable laws and with an 'X' if the threshold is exceeded (Current products)	Response to applicable laws Scheduled date for implementation of substitute substances (Date of possible supply) (Leave blank if no date scheduled)	Remarks (Instructions for use, others)	Chemical substance group containing chemical substances intentional addition? Yes: O No: X	Chemical substance group (Name of the chemical substance)	Application of the chemical substances	Certification corresponding to regulations (Certified product: O)	
6750110	Bracket	AA300		O					Insulation		

Details of chemical substances regulated by the RoHS Directive

1 Chemical substances and thresholds regulated by the RoHS Directive

* Groups of chemicals & Name of chemicals: please refer to Green Procurement Guidelines, Appendix (2) Details of controlled chemical substances

	Groups of chemicals & Name of chemicals	Threshold
①	Cadmium and its compounds	≤100ppm
②	Hexavalent chromium and its compounds	≤1000ppm
③	Lead and its compounds	≤1000ppm
④	Mercury and its compounds	≤1000ppm
⑤	Polybrominated biphenyls (PBBs)	≤1000ppm
⑥	Polybrominated diphenyl ethers (PBDEs)	≤1000ppm

Calculation example (1 ppm in 1 mg of 1 kg)

Proximity switch mass: 0.07kg Hexavalent chromium and its compound mass: 100mg

Concentration: 1428. 5ppm > 1000ppm

2 Exemptions from chemical substances regulated by the RoHS Directive

The following substances and situations are not covered by the RoHS Directive of controlled chemical substances.

① Lead

- Lead with a steel content of up to 0.35 wt %
- Lead with an aluminum content of up to 0.4 wt %
- Lead with a copper content of up to 4 wt %
- High melting point solder lead (lead content of approx. ≥ 85%)
- Lead in electronic ceramic parts

② Cadmium

- Surface treatment outside the restrictions imposed through 76/769/EEC

③ Hexavalent chromium

- Rust treatment of carbon steel cooling systems of absorption-type refrigerators

3 Remarks

For "Chemical substances and thresholds regulated by the RoHS Directive" and "Exemptions from chemical substances regulated by the RoHS Directive", if content modification(s) occur(s) in RoHS Directive, please comply entirely with this (these) modification(s).